



Fit and Proper Questionnaire

Important Note: Once submitted, a change in any of the responses to this questionnaire could result in the removal of your name as a candidate, or from office if already appointed.

1. Have you ever been convicted or associated with any criminal offence, including dishonesty, fraud or financial crime? YES NO

2. Have you ever been the subject of any adverse finding or any settlement in civil proceedings, in connection with investment or other financial business, misconduct or fraud? YES NO

3. Are you currently the subject of investigation or disciplinary proceedings by regulatory authorities, professional bodies or government bodies? YES NO

4. Have you ever been disciplined by regulatory authorities, professional bodies or government bodies? YES NO

5. Have you ever been dismissed, disqualified or asked to resign from a position of trust or fiduciary appointment, or been disqualified from acting as a director?" YES NO

6. Are there any current proceedings which might lead you to being unable to answer "no" to any of the above questions at some future time? YES NO

7. Please provide an explanation for any of the above questions to which you are unable to provide the answer "no".

Print name

Signature

Date